

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Central Depository Services (India) Limited**
2. Quarter ending - **31.03.2021**

**i. Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Members hip in Committees of the Company	Remarks
Mr.	Nehal Naleen Vora	02769054	ED	CEO -MD	24-Sep-2019	24-Sep-2019			11-Aug-1973	NA		1	0	1	0	SC	
Mr.	Nayan Chandrakant Mehta	03320139	NED,ND		28-Nov-2016	26-Oct-2020			31-Mar-1967	No		1	0	1	0	AC	
Mr.	Balkrishna Vinayak Chaubal	06497832	ID,C & NED		30-Jul-2019	30-Jul-2019		20	22-Dec-1954	No		1	1	1	0	AC,NRC	
Mr.	Bimalkumar Natvarlal Patel	03006605	ID		27-Sep-2019	27-Sep-2019		18	29-Jun-1970	No		2	2	2	1	AC,SC,NRC	
Mrs.	Preeti Saran	08606546	ID		29-Nov-2019	29-Nov-2019		16	05-Sep-1958	No		2	2	1	1	RMC,NRC	

Mr.	Umesh Bellur	086 261 65	ID		30- Nov- 2019	30- Nov- 2019		16	22- Sep- 1965	No		2	2	2	0	AC,SC,RC	
Mr.	Sidhartha Pradhan	069 388 30	ID		29- Nov- 2019	29- Nov- 2019		16	12- Jun- 1952	No		2	2	2	2	AC,RC	
Mr.	Masil Jeya Mohan P	085 020 07	NED,ND		10-Oct- 2019	10-Oct- 2019			20- Mar- 1961	No		1	0	1	0	AC	

Company Remarks	NIL
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

## ii. Composition of Committees

### a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Bimalkumar Natvarlal Patel	ID	Member	30-Apr-2020	
2	Balkrishna Vinayak Chaubal	ID,C & NED	Member	28-Sep-2019	
3	Nayan Chandrakant Mehta	NED,ND	Member	02-Jan-2020	
4	Umesh Bellur	ID	Member	02-Jan-2020	
5	Sidhartha Pradhan	ID	Chairperson	02-Jan-2020	
6	Masil Jeya Mohan P	NED,ND	Member	02-Jan-2020	

Company Remarks	NIL
Whether Permanent chairperson appointed	Yes

### b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Bimalkumar Natvarlal Patel	ID	Chairperson	07-Oct-2019	
2	Nehal Naleen Vora	ED	Member	07-Oct-2019	
3	Umesh Bellur	ID	Member	02-Jan-2020	

Company Remarks	NIL.
Whether Permanent chairperson appointed	Yes

### c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Viraj Londhe	Independent External Person	Member	07-Oct-2019	
2	Nilesh Vikamsey	Independent External Person	Member	07-Oct-2019	
3	Preeti Saran	ID	Member	02-Jan-2020	
4	Umesh Bellur	ID	Member	02-Jan-2020	
5	Sidhartha Pradhan	ID	Chairperson	02-Jan-2020	

Company Remarks	NIL
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Preeti Saran	ID	Chairperson	02-Jan-2020	
2	Balkrishna Vinayak Chaubal	ID,C & NED	Member	24-Aug-2019	
3	Bimalkumar Natvarlal Patel	ID	Member	07-Oct-2019	

Company Remarks	NIL.
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of Meeting (if any) in the relevant quarter</b>	<b>Whether requirement of Quorum met</b>	<b>Number of Directors present</b>	<b>Number of Independent Directors present</b>
24-Oct-2020	31-Jan-2021	Yes	8	5
27-Nov-2020	07-Feb-2021	Yes	8	5
29-Dec-2020	25-Mar-2021	Yes	7	4

Company Remarks	NIL
Maximum gap between any two consecutive (in number of days)	45

**iv. Meeting of Committees**

<b>Name of the Committee</b>	<b>Date(s) of meeting during of the committee in the previous quarter</b>	<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of Quorum met (Yes/No)</b>	<b>Number of Directors present</b>	<b>Number of independent directors present</b>
Audit Committee	07-Oct-2020	30-Jan-2021	Yes	6	4
Risk Management Committee	13-Oct-2020	29-Jan-2021	Yes	3	3
Audit Committee	24-Oct-2020	25-Mar-2021	Yes	6	3
Audit Committee	27-Nov-2020		Yes	6	4

Nomination & Remuneration Committee		29-Jan-2021	Yes	3	3
Nomination & Remuneration Committee		24-Mar-2021	Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	63

**v. Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	<b>Not Applicable.</b>
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and

responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **Nisha Tiwari**  
**Designation** : **Compliance Officer**

**ANNEXURE II**

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status</b>	<b>Company Remark</b>	<b>Website</b>
<b>As per regulation 46(2) of the LODR:</b>			
Details of business	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Terms and conditions of appointment of independent directors	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Composition of various committees of board of directors	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Code of conduct of board of directors and senior management personnel	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Criteria of making payments to non-executive directors	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Policy on dealing with related party transactions	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Policy for determining 'material' subsidiaries	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Details of familiarization programs imparted to independent directors	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances other relevant details	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Financial results	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Shareholding pattern	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Details of agreements entered into with the media companies and/or their associates	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
New name and the old name of the listed entity	Not Applicable		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Advertisements as per regulation 47 (1)	Yes		<a href="http://www.cdslindia.com">www.cdslindia.com</a>
Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments obtained	Not Applicable		<a href="http://www.cdslindia.com">www.cdslindia.com</a>

Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		www.cdslindia.com
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.cdslindia.com
Materiality Policy as per Regulation 30	Yes		www.cdslindia.com
Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.cdslindia.com
It is certified that these contents on the website of the listed entity are correct.	Yes		www.cdslindia.com

## II Annual Affirmations

Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
Board composition	17(1), 17(1A) & 17(1B)	Yes	
Meeting of Board of directors	17(2)	Yes	
Quorum of Board meeting	17(2A)	Yes	
Review of Compliance Reports	17(3)	Yes	
Plans for orderly succession for appointments	17(4)	Yes	
Code of Conduct	17(5)	Yes	
Fees/compensation	17(6)	Yes	
Minimum Information	17(7)	Yes	
Compliance Certificate	17(8)	Yes	
Risk Assessment & Management	17(9)	Yes	
Performance Evaluation of Independent Directors	17(10)	Yes	
Recommendation of Board	17(11)	Yes	
Maximum number of directorship	17A	Yes	
Composition of Audit Committee	18(1)	Yes	
Meeting of Audit Committee	18(2)	Yes	
Composition of nomination & remuneration committee	19(1) & (2)	Yes	
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
Meeting of nomination & remuneration committee	19(3A)	Yes	
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes	
Meeting of stakeholder relationship committee	20(3A)	Yes	
Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	



Meeting of Risk Management Committee	22	Yes	
Vigil Mechanism	22	Yes	
Policy for related party Transaction	23(1),(1A),(5),(6),(7)	Yes	
Prior or Omnibus approval of Audit Committee for all related party	23(2), (3)	Yes	
Approval for material related party transactions	23(4)	Yes	
Disclosure of related party transactions on consolidated basis	23(9)	Yes	
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
Other Corporate Governance requirements with respect to subsidiary	24(2),(3),(4),(5) & (6)	Yes	
Annual Secretarial Compliance Report	24(A)	Yes	
Alternate Director to Independent Director	25(1)	Yes	
Maximum Tenure	25(2)	Yes	
Meeting of independent directors	25(3) & (4)	Yes	
Familiarization of independent directors	25(7)	Yes	
Declaration from Independent Director	25(8) & (9)	Yes	
D & O Insurance for Independent	25(10)	Yes	
Memberships in Committees	26(1)	Yes	
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

<b>Other Information</b>	
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### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

<b>Other Information</b>	
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**Name** : **Nisha Tiwari**  
**Designation** : **Compliance Officer**